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<b>(54) Title:</b> GEL MICRODROPS IN GENETIC ANALYSIS  <b>(57) Abstract</b>  <p>The invention provides methods of nucleic acid analysis. Such methods entail forming a population of gel microdrops encapsulating a population of biological entities, each entity comprising a nucleic acid, whereby at least some microdrops in the population each encapsulate a single entity. The population of gel microdrops is then contacted with a probe under conditions whereby the probe specifically hybridizes to at least one complementary sequence in the nucleic acid in at least one gel microdrop. At least one gel microdrop is then analyzed or detected. The biological entities can be cells, viruses, nuclei and chromosomes.</p>		

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## GEL MICRODROPS IN GENETIC ANALYSIS

## CROSS-REFERENCE TO RELATED APPLICATION

The present application derives priority from USSN  
60/095,721, filed August 7, 1998, which is incorporated by  
10 reference in its entirety for all purposes.

## TECHNICAL FIELD

The present invention resides in the field of genetic  
analysis.

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## BACKGROUND

Cytogenetic testing is still in its infancy. Current  
cytogenetic methods are limited to analysis of gene  
aberrations easily detectable in cells, nuclei, or  
20 chromosomes, in part, because slide based methods are highly  
manual. Analysis of aberrations present in low frequency is  
not routinely performed in the clinical setting because many  
slides of cells, nuclei or chromosomes would have to be  
evaluated to establish statistical frequency.

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Banding is the classical approach used for analyzing  
chromosomes in metaphase spreads. This method is based on  
staining which results in dark bands in the region of the  
chromosome where the chromatin occurs at higher density. The  
banding pattern is specific for each chromosome and allows  
30 identification for karyotyping, which is the determination of  
each chromosome's copy number. However, banding resolution is  
not sufficient to detect small deletions or additions of  
chromosomal mass, which occur in a variety of disease  
conditions, particularly in cancers.

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Fluorescent *in situ* hybridization is another approach  
used to localize genomic DNA fragments or to paint whole

chromosomes and to detect and characterize genetic abnormalities including translocations (31, 40), aneusomy (41, 42), and gene amplification (43). These genetic abnormalities can be detected in individual cells, chromosomes, or nuclei to assess of tumor genotype, analyze genetic heterogeneity, and detect malignant cells. To preserve integrity in FISH applications, chromosomes are typically adsorbed onto glass slides for analysis. Analysis therefore requires microscopic evaluation of individual slides limiting automation and rapid sample processing. Fluorescent *in situ* hybridizations prepared on glass slides rely not only on the assay and reagents but on the instrumentation and the expertise and ingenuity of the scientists using it resulting in poor reproducibility. An inherent limitation to this technology is that at least 100 kb of DNA sequence in a single cell must be present for detection (68-70). In addition, harsh conditions for fixing either tissue or intact cells to a glass slide are less than optimal: up to 90% of the assay sample can be lost from the glass support.

Some chromosomes can also be resolved by fluorescent staining followed by flow cytometry (14,15). Successful chromosome sorting is, however, dependent on the binding characteristics of fluorescent dyes and the extent to which the chromosome of interest can be distinguished from chromosomes of similar size, clumps of chromosomes, and debris containing DNA (13). Although this approach has resulted in the construction of yeast artificial chromosome (YAC) libraries for mapping studies (16) in species which have chromosomes of similar size, such as mouse, arabidopsis, and 20% of the human chromosomes, unambiguous resolution has not been possible. Flow sorting based on dye uptake is possible for well resolved chromosomes, but this method works poorly for chromosomes which are similar in size and base composition, mainly human chromosomes 9-12 and the majority of

mouse chromosomes. Furthermore, flow cytometry cannot currently be used to analyze hybridized chromosomes prepared by conventional methods because unfixed chromosomes fall apart using high temperatures and/or formamide.

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#### SUMMARY OF THE CLAIMED INVENTION

The invention provides methods of nucleic acid analysis. Such methods entail forming a population of gel microdrops encapsulating a population of biological entities, each entity comprising a nucleic acid, whereby at least some microdrops in the population each encapsulate a single entity. Nucleic acids can be DNA or RNA. The population of gel microdrops is then contacted with a probe under conditions whereby the probe specifically hybridizes to at least one complementary sequence in the nucleic acid in at least one gel microdrop. At least one gel microdrop is then analyzed or detected. The biological entities can be cells, viruses, nuclei and chromosomes.

In some methods, at least 10,000 biological entities are encapsulated. In some methods, the biological entities are not fixed chemically before the contacting step. In some methods, nucleic acids are amplified before the contacting step. Suitable materials for forming droplets include agarose, alginate, carrageenan, or polyacrylamide.

In some methods, nucleic acids are recovered from microdrops by digestion with agarase. Optionally, the recovered DNA can be digested with a restriction enzyme with or without prior digestion of agarase. In some methods, the gel matrix is crosslinked with itself and/or nucleic acid being analyzed, typically, between the denaturation and contacting steps. In some method, the hybridization is performed at a temperature of over 68°C or in the presence of a formamide concentration greater than 20%. In some methods, the microdrops further comprise a reagent that amplifies a

signal from the labelled probe. For example, the probe can be labelled with an enzyme, and the reagent can be a substrate for the enzyme.

In some methods, microdrops are isolated by FACS<sup>™</sup>. In some methods, the biological entities are a population of chromosomes obtained from a population of different cells in a patient. In some methods, the ratio of a subpopulation of microdrops containing a chromosome hybridized to the probe to a subpopulation of microdrops containing a chromosome not hybridized to the probe is determined. In some methods, the probe hybridizes to a nucleic acid segment bearing a mutation and the ratio indicates the proportion of cells in the population bearing the mutation. Such methods are particularly useful for analyzing somatic mutations.

In some methods, an isolated microdrop containing a single chromosome is used to prepare a single chromosomal fragment library. Such a library can in turn be used for preparing probes for a single chromosome, such as painting or reverse painting probes.

Gel microdrops encapsulated biological entities can be stored before or after the hybridization step for a period of at least six months.

The invention further provides methods of diagnosing a disease due to a genetic mutation. Such methods entail obtaining a sample of cells from a patient. A population of chromosomes from the sample is then encapsulated in a population of microdrops. One then contacts the microdrops with a first probe that is complementary to a nucleic acid segment containing the somatic mutation, and a second probe complementary to the chromosome in which the somatic mutation occurs at a site distal to the somatic mutation. The first probe hybridizes to microdrops bearing the chromosome with a somatic mutation and the second probe hybridizes to microdrops bearing the chromosome irrespective whether the somatic

mutation is present. One then determines the ratio of microdrops hybridizing to the first probe and hybridizing to the second probe. The ratio can then be used to diagnose the existence or prognosis of the disease from the ratio. Such methods are particularly useful for diagnosing existence or prognosis of cancer.

The invention further provides methods of chromosome analysis. Such methods entail forming a population of gel microdrops encapsulating a population of nucleic acids, whereby at least some microdrops in the population each encapsulate a single nucleus. One then contacts the population of gel microdrops with a probe under conditions whereby the probe specifically hybridizes to at least one complementary sequence in at least one chromosome in a nucleus of at least one gel microdrop. One then isolates or detects the at least one gel microdrop.

The invention further provides methods of isolating chromosomes. Some such methods entail culturing a population of cells in genistein and colcemid to synchronize chromosomes in metaphase, and isolating chromosomes from the cells. Other methods, which can be used in conjunction or independently of the previously described methods, entail lysing a population of cells to form a lysate. The lysate is then treated with an antibody linked to a magnetic particle, wherein the antibody specifically binds to one or more chromosomes in the cells. Magnetic particles are then isolated from the lysate.

The invention further provides methods of chromosome analysis. Such methods entail forming a population of gel microdrops encapsulating a population of cells or nuclei, whereby at least some microdrops in the population each encapsulate a single nucleus. One then contacts the population of gel microdrops with a probe under conditions whereby the probe specifically hybridizes to at least one complementary sequence in at least one nucleus in at least one

gel microdrop. One then isolates or detects the at least one gel microdrop.

The invention further provides a kit comprising high melting temperature agarose, emulsification equipment, and a label indicating how to use the kit for probe hybridization analysis. Optionally, the kit also includes at least one probe that hybridizes to a nucleic acid.

#### BRIEF DESCRIPTION OF THE FIGURES

Fig. 1 shows encapsulated and unencapsulated human, mouse and plant chromosomes.

Fig. 2 shows gel electrophoresis of chromosomal DNA. Unencapsulated, freshly isolated chromosomes: b-mouse, e-human K-562, g-human REH; Unencapsulated chromosomes one month after isolation: c-mouse, f-human K-562, h-human REH; Encapsulated chromosomes stored for 6 months: d-mouse, i-human K-562, j-human REH; lambda DNA (0.1 µg) used as a control: a, k.

Fig. 3A shows flow sorting of human chromosome 22 following microdrops in situ hybridization using a bcr locus specific probe. Fig. 3B shows sorted chromosomes visualized using fluorescent microscopy.

Fig. 4 shows purity of chromosome 22 after sorting measure by the polymerase chain reaction using primer sets specific from chromosomes 10, 21 or 22

Fig. 5 Restriction digestion of encapsulated chromosomes.

Figure 6 detection of translocated chromosome 22 *left* chromosome 9 *middle*, and translocation-free chromosome 22 *right* by MISH are shown.

Fig. 7 shows flow cytometric detection of gag HIV RNA in encapsulated HIV infected cells after hybridization with two HRP-labeled oligo probes.

Fig. 8 shows detection of telomerase mRNA in HL-60 (model cancer cell line) and human PBMCs using fluorescein-labeled oligonucleotide probes



## DEFINITIONS

An isolated species means an object species invention that is the predominant species present (i.e., on a molar basis it is more abundant than any other individual species in the composition). Preferably, an isolated species comprises at least about 50, 80 or 90 percent (on a molar basis) of all macromolecular species present. Most preferably, the object species is purified to essential homogeneity (contaminant species cannot be detected in the composition by conventional detection methods).

Polymorphism refers to the occurrence of two or more genetically determined alternative sequences or alleles in a population. A polymorphic marker or site is the locus at which divergence occurs.

## DETAILED DESCRIPTION

I. General

The invention provides methods of analyzing populations of nucleic-acid containing biological entities by probe hybridization. For example, the methods can be used to analyze cells, viruses, isolated nuclei or isolated chromosomes. The methods work by encapsulating biological entities in gel microdrops, such that at least some microdrops in a population contain a single entity. The gel droplet provides a stabilization matrix for hybridization and holds hybridized nucleic acids together for subsequent analysis. Encapsulated entities are hybridized with one or more probes. GMDs are easily recovered using low speed centrifugation. Probes can, for example, be designed to hybridize to particular chromosomes or to specific chromosomal loci which are the site of genetic abnormality. After hybridization, the encapsulated entities are detected and/or isolated based on hybridization signal.

The methods allow very large numbers of biological entities to be analyzed simultaneously and can detect entities with rare genotypes from within such populations. For example, the methods can be used to identify rare cancerous cells in a population of normal cells at an early stage in the development of the cancer. Other applications included gene identification, isolating cells expressing a particular gene, preparation of specific hybridization probes, and isolation of pure starting material for DNA sequencing. An additional benefit is that encapsulation in a permeable matrix permits hybridization in free solution, improving the reaction kinetics. A further benefit is that the encapsulation matrix can serve as a repository for a substrate for a reaction catalyzed by an enzyme bound to a probe. Use of chemiluminescent substrates in this manner results in highly sensitive detection.

## II. Formation of Droplets

Gel Microdrop (GMD) encapsulation evolved from an interest in studying individual cells (1-11). GMD's provide a defined microenvironment around a biologically entity. The gel does not impede diffusion and allows analysis of large numbers of individual GMDs using flow cytometry, as well as recovery of GMDs of interest using FACS. The number of biological entities encapsulated within each GMD is approximated by Poisson statistics, similar to limiting dilution cloning or petri dish inoculation. To obtain a preparation with a high probability that each GMD contains 0 or 1 initial chromosomes, about 10% of the GMDs should be occupied. GMDs can be prepared by dispersing entities in liquefied gel, such as agarose, into an excess of a hydrophobic fluid to form an emulsion. The emulsion is transiently cooled, causing gelling. Once formed, GMDs are physically distinct and robust and can be removed from the oil

into an aqueous medium by low speed centrifugation. Alternatively, GMD's can be formed by passing a mixture of liquefied gel and entities through a pulsating nozzle, such as the printhead of an inkjet printer.

5           Instrumentation for microdrop formation, the CellSys 100™ Microdrop Maker, is a specially designed emulsifier coupled to a high precision motor available from OneCell Systems, Inc. By varying the rotation speed, type and amount of surfactant, and emulsion viscosity, microdrops ranging from, for example,  
10   2-200  $\mu\text{m}$  can be prepared. Although the Microdrop Maker currently available from One Cell Systems is most efficient for making large numbers of microdrops (e.g.,  $10^7$ ), which in turn requires one million biological entities to meet the single occupancy requirement of the microencapsulation  
15   procedure, it can be miniaturized for encapsulation of smaller chromosomal preparations. Such is useful for clinical applications, e.g., evaluation of bone marrow samples in which only a small number of cells are present.

          Several types of gel can be used for making droplets  
20   including agarose, alginate, carrageenan, or polyacrylamide. High melting temperature agarose is preferred for encapsulating larger human and plant chromosomes.

### III. Biological Entities

25           The methods are generally applicable for screening nucleic acids, and any biological entity containing them. Examples of biological entities include cells, organelles, such as nuclei, mitochondria and chloroplasts; chromosomes and fragments thereof, and viruses. Such entities can be from any  
30   species including mammals, fish, amphibians, avians, insects, bacteria, eubacteria and plants. Preferred mammals include humans, primates, bovines, and rodents, such as mice, rats and rabbits.

In some methods, biological entities are obtained from a tissue from a human patient. The tissue sample often contains a nonclonal population of cells. Samples can be obtained from any tissue, but blood samples, and samples from tissues from the loci of diseases to which the patient is suspected of being susceptible are preferred. In some methods, cells from primary tissue samples are propagated before analysis. In some methods, biological entities are pooled from more than one individual before analysis. In some methods, biological entities (e.g., chromosomes) are obtained from a homogenous cell line. Cells can be encapsulated and analyzed directly, or nuclei or chromosomes can be isolated from cells for analysis.

#### IV. Pretreatment of GMD's before Hybridization

Preferably, polymers forming the gel are crosslinked to each other and/or to the biological entity. Preferably, such crosslinking is reversible without damage to the biological entity, thereby allowing the biological entity to be recovered after hybridization and subjected to further DNA manipulations. Such crosslinking assists in preservation of structural integrity of GMD's in the subsequent denaturation step and hybridization steps. Harsh chemical fixation treatments, such as the formaldehyde treatment, used in conventional FISH, are not required. Such fixation treatments form an internal matrix by cross-linking endogenous primary amino groups in a biological entity.

Crosslinked gel microdrops can withstand high temperatures (at least 68°) or concentrations of denaturing solvents such as formamide (e.g., 10, 20, 30, 40 or up to 50% concentration of formamide). Optionally, segments of DNA or RNA can be amplified within the droplets using PCR. A PCR buffer including primers is diffused into droplets, and the droplets are subject to temperature cycling as in a

conventional PCR reaction. Irrespective whether amplification is performed, nucleic acids within the GMD's are typically denatured (e.g., by treatment with alkali, heat, formamide or other chemical denaturant) before performing the hybridization step.

#### V. Probes

Probes are designed to hybridize with selected segment(s) in the nucleic acids of biological entities being screened.

Typical probes are those used in conventional genetic and cytogenetic analyses. In many methods, two or more probes with different binding specificities are used. In some methods, a large population of different probes is used. Typically, probes bear detectable labels. If more than one type of probe is used, the different types sometimes bear different labels.

Some probes used in the methods are locus-specific probes, including allele-specific probes and species-specific probes. Allele-specific probes hybridize to one allele of a gene within a species without hybridizing to other alleles. Similarly, species-specific probes hybridize to a gene from one species without hybridizing to the cognate gene in another species. Some probes used in the method hybridize to a variant form of chromosome associated with disease without hybridizing to a wildtype form of the chromosome found in normal individuals. Some probes are mixtures of probes designed to hybridize to one chromosome from an individual or species without hybridizing to other chromosomes. For example, a population of probes can be designed to hybridize to the human X chromosome without hybridizing to other human chromosomes. Some probes are mixtures designed to hybridize to several different chromosomes. For example, a mixture of probes can be designed to hybridize to each of the human chromosomes. Some probes hybridize to satellite or repeat

regions within chromosomes. Some probes hybridize to centromeric regions of chromosomes.

Some probes are chromosome painting probes or reverse chromosome painting probes. Chromosome painting probes are a collection of probes designed to hybridize to a segment of a chromosome. Microscopic analysis of a chromosome hybridized to such probes shows a contiguous segment of label if the entire segment of the chromosome is present. If the segment is interrupted by a substitution, deletion or insertion, a gap appears in the pattern of label, signifying the presence of a genetic abnormality. Reverse chromosome painting probes are designed to hybridize to a contiguous segment of a chromosome bearing a known mutation. Microscopic analysis of a chromosome bearing such a mutation hybridized to reverse painting probes shows a contiguous segment of label.

Reverse chromosome painting has been useful for determining the origin of *de novo* unbalanced chromosome duplications and the extent of deletions or balanced translocations (20). However, aberrant chromosomes are often difficult to distinguish in conventional FISH methods because the derivative chromosome can overlay normal chromosomes. Use of reverse chromosome painting after separation of chromosomes by flow cytometry eliminates this problem.

Some probes are designed to bind to mRNA within a cell. Such probes can be designed incorporating a segment from the antisense strand of a cDNA sequence.

Probes are typically nucleic acids, and can be RNA, DNA or PNA. Probes can also be antibodies or other proteins with capacity to bind to DNA in a sequence-specific manner.

## VI. Labels

Probes are typically labelled. The labels used permit separation based on flow cytometry and/or microscopic visualization of label. In some methods, probes are labelled

with fluorescent label such as fluorescein. If multiple probes are used simultaneously the probes can be labelled with different fluorescent molecules emitting at different wavelengths to allow differential detection.

5 In some method, the signal from a label attached to a probe is amplified by binding molecules bearing secondary labels to the label. For example, a hybridized probe labelled with fluorescein can be incubated 15-30 min with rabbit anti-fluorescein IgG conjugated with biotin (Accurate Chemical & Scientific). After washing with PBS buffer, GMDs are  
10 incubated for 15-30 min with avidin-FITC or avidin-phycoerythrin (Sigma, St. Louis, MO). Because, on average, each anti-fluorescein is labeled with five biotin molecules and each biotin molecule can bind 2-4 avidin molecules, a 10-  
15 20 fold amplification in signal is obtained.

In some methods, probes are labelled with an enzyme that catalyzes conversion of a substrate to a secondary label that allows separation and/or visualization of GMD's.

In some methods, as well as being hybridized to sequence-specific probes, GMD's are labelled with compounds that binds  
20 to any DNA sequence. Such labelling serves to distinguish GMD's containing a biological entity with empty GMD's.

#### VII. Separation and Analysis of Hybridized GMDs

25 After hybridization with labelled probes, GMDs can be analyzed on a flow cytometer. In the simplest case, in which a single probe type is used, the flow cytometer counts the number of GMDs bearing a label and the number of GMD's lacking a label. If two different probes bearing different labels are  
30 used, the flow cytometer can count GMD's bearing first label only, GMD's bearing second label only, GMD's bearing both labels, and GMD's bearing neither label. In methods employing larger numbers of probes, still further categories of GMD's can be distinguished.

If a probe is directed to a particular sequence (e.g., a specific chromosomal defect), detection of GMD's hybridized to that probe signals that the defect is present in at least some of the biological entities being analyzed. If all GMD's bearing biological entities are labelled with a second label, it is possible to determine a ratio of the GMD's hybridized to the specific probe with all GMD's encapsulating a biological entity. This ratio is the proportion of biological entities in a sample preparation bearing a defect. The methods are sufficiently sensitive to detect rare cells in larger populations, e.g., one cell in 10, 100, 1000, 10,000, 100,000 or 1,000,000. This ratio can be significant in determining the existence or prognosis of a disease. For example, if a sample of a cell is from a tissue suspected of being susceptible to cancer, the ratio of cells bearing a defect associated with cancer to the total number of cells in a sample is a measure of how far the cancer has progressed.

In some methods, GMD's encapsulating chromosomes are hybridized with two different probes which are complementary to segments normally found on different chromosomes but which are translocated into the same chromosome in cancerous cells. In this situation, the ratio of GMD's binding to both probes relative to the GMD's binding to one probe or the other but not both, gives the ratio of cancerous to normal cells in a population.

Optionally, flow cytometry can be followed by FACS sorting to make different classes of GMD's available for further analysis, such as microscopy, or chromosome preparation. Alternatively, gel microdroplets can be labelled with magnetic particles and subjected to magnetic separation (MACS). Magnetic particles can be directly attached to hybridization probes or can be supplied in a form that they specifically bind to hybridization probes.



VIII. Visualization of Hybridized Chromosomes

Encapsulated biological entities can be visualized with or without prior flow cytometry and FACS separation to determine the location(s) at which probe has bound. Analysis after flow cytometry and FACS separation can be advantageous

because at that stage one has a relatively pure population of biological entities that has hybridized to a given probe. Biological entities can be visualized by microscopy, digital image analyzing, scanning cytometry, photon counting or ccd.

Visualization is useful for analyzing hybridization of chromosome painting probes or reverse chromosome painting probes. Visualization is also useful for determining chromosomal copy number within a cell, and hence the existence of chromosomal deletions or duplications. For biological entities containing multiple chromosomes (e.g., cells and nucleic) visualization can also be used to distinguish between two different probes binding to separate chromosomes or to the same chromosome. As noted, such analysis is useful in identifying some forms of cancer.

Biological entities are preferably immobilized on microscope slides or the like for visualization. For example, placing a small quantity (10  $\mu$ l) of GMDs dispersed in substrate on the glass slide with a cover slip sufficiently immobilizes GMDs permitting reliable detection of emitted light.

Digital imaging has become an indispensable tool for biological research due to several advantages when compared to the human eye. The higher sensitivity imaging detector enables one to visualize very low light objects which are not detectable by the unaided human eye. The spectrum sensitivity of the human eye is limited from 400 to 700 nm. In contrast, the spectrum sensitivity range of imaging detectors is more broad, and signals from the range of x-ray to infrared can be detected.

A charged-coupled device (CCD) camera providing exposures ranging from seconds to minutes and has advantages for detecting low light levels. These cameras are coupled to a microscope; then digital images are collected with the help of appropriate instrumentation. For low light applications, there are two types of CCD cameras available. The first is the Intensified CCD (ICCD) camera which uses an Image Intensifier and a CCD camera. The Image Intensifier enhances low light image and the intensified image is projected onto a CCD camera through relay optics, such as a relay lens, enabling one to visualize low light image undetectable using a CCD alone. The second is a Cooled CCD (CCCD) camera which uses a similar CCD chip for high light imaging. The CCCD reduces camera noise by cooling and slowly reading out the signal. The reduction of noise enables one to visualize a low light image ordinarily buried in the noise of a regular CCD camera.

#### IX. DNA Isolation

The gel environment preserves chromosomal DNA molecules in intact form. DNA in encapsulated chromosomes containing GMD's can be cleaved to fragments *in situ* with restriction enzymes. For large fragments, partial digestion is preferred. DNA fragments can then be released from drops by digesting the gel matrix. For example, if the matrix is agarose, the gel matrix can be digested with the enzyme agarose. Large fragments are then cloned into vectors such as YACs, BACs or PACs. Libraries from purified human chromosomes preparable by the above methods are useful for sequencing or mapping the human genome and for positional cloning. Pure sorted chromosomes are also useful as a source of chromosome painting and reverse chromosome painting probes. Such probes can be prepared by amplification of chromosomal DNA using degenerate primers.

#### X. Storage of Encapsulated Biological Entities

Encapsulated biological entities such as chromosomes can be stored for an hour, a day, a week, a month, six months, a year, two years or five years or more without visible degradation of nucleic acids. Stored chromosomes can eventually be used for isolating specific genes, for preparing PCR probes, and for generating high quality starting material for DNA sequencing, or for clinical analysis.

#### XI. Applications

The above methods can be applied to diagnosing the presence, susceptibility, or prognosis of diseases associated with genetic defects. The methods are particularly useful in diagnosing and monitoring diseases due to genetic defects that are only present in a subpopulation of cells, such as defects arising from somatic mutation. Examples of such diseases associated with genetic defects include autoimmune diseases, inflammation, cancer, diseases of the nervous system, and hypertension. Some examples of autoimmune diseases include rheumatoid arthritis, multiple sclerosis, diabetes (insulin-dependent and non-independent), systemic lupus erythematosus and Graves disease. Some examples of cancers include cancers of the bladder, brain, breast, colon, esophagus, kidney, leukemia, liver, lung, oral cavity, ovary, pancreas, prostate, skin, stomach and uterus.

Many cancers arise due to mutations in rare subpopulations of cells. Cancers develop and progress through the accumulation of genetic abnormalities at critical loci (34, 35). These abnormalities may involve alterations of one or a few bases of DNA, deletions ranging from sub-microscopic to whole chromosomes, duplications or higher-level amplifications of chromosomal regions, or rearrangements producing abnormal juxtapositions of DNA sequences (36). In some case, such as the *abl-bcr* fusion on the original

Philadelphia chromosome, specific translocations are associated with activation or modification of human proto-oncogenes (37, 38). Similarly, Ewing's sarcoma is associated with a translocation involving the EWS gene on chromosome 22. Determination of the proportion of cancerous cells in a tumor allows grading of the tumor for improved diagnosis, prognosis and treatment planning. The ratio can also be valuable in evaluating both the adequacy of surgical margins and the presence of microscopic metastases in bone marrow or other sites.

The methods are also useful for diagnosing the presence of latent viruses. For example, some viruses, such as Herpes viruses and retroviruses, integrate into genomic DNA of some cells within the body and remain dormant until activated. Analysis of a tissue sample from a patient having or suspected of being infected with such a virus can identify the percentage of cells infected with the virus and the copy number of the virus in different cells. Such information is useful in diagnosing the presence of virus, the severity of infection and the recommended course of treatment. Presence of a virus can be detected using a probe designed to hybridize either to viral genomic nucleic acid or to viral mRNA, or both. The methods can similarly be used to determine copy number of viral mRNA in cells from the patient. Such information can be useful in monitoring the progress of disease, for example, in response to treatment with a drug.

The methods are also useful for determining allelic frequencies in a population, and correlating such frequencies with a phenotype. For example, cells taken from a population of individuals can be pooled, and screened to determine the frequencies of different allelic forms of a gene. If the population has a common phenotype (e.g., a disease), a correlation can be performed to determine whether the presence

of one of the allelic forms is statistically associated with the phenotype.

The methods are also useful for identifying cell types expressing a gene of interest. For example, if a new gene of unknown function has been discovered, one can design a probe that is complementary to an exonic segment and optionally, to segments in successive exons. Hence, the probe can hybridize to mRNA expressed from the gene. A population of cells is obtained from different tissues of an individual, and the cells are screened for hybridization to the probe. Cells hybridizing to the probe express the gene. The nature of cells expressing a gene provides valuable information concerning the function of the gene.

Similar methods can be used to clone a cDNA if only a portion of the coding sequence is known. The portion of known sequence is used to design a probe. A population of different cells is then encapsulated and hybridized with the probe. Cells are then separated according to extent of expression. Cells showing the highest level of expression provide a suitable source material from which to clone the cDNA.

The methods are also useful for comparing or monitoring the expression of a given gene or gene(s) in different cell types. A probe is designed to hybridize to a mRNA transcript of each gene of interest. Optionally, different probes can bear different labels. Probes are then hybridized with mRNA in a microdrop encapsulated cell population, which typically includes cells of different types. The extent of hybridization of each cell with each probe is then determined. Optionally, cells hybridizing with a particular probe at significantly above or below average levels are isolated and cell type determined, allowing correlation between cell type and expression level. Optionally, different cell types in a population can themselves be labelled with reagents that specifically bind to a particular cell type. For example, a

particular cell type can be labelled using an antibody that binds to a receptor specific to the cell type. Microdrops are then analyzed for both cell type labels and probe labels, thereby facilitating comparison of expression levels of particular mRNA species between the cell types that have been specifically labelled.

The methods are also useful for preparing isolated chromosomes. As noted, isolated chromosomes are useful for e.g., positional cloning studies and for preparing probes.

## XII. Kits

The invention also includes kits for the practice of the methods of the invention. The kits comprise equipment and/or reagent(s) for making gel microdrops and optionally, probe(s) for performing hybridization to encapsulated nucleic acids. Examples of equipment include a CellSys 100™ Microdrop Maker and components thereof, an instrument providing a pulsating novel, such as an inkjet printer, and a vortexer. Examples of reagents include chemicals for making a gel, such as agarose or acrylamide, cross-linking reagents, denaturing agents, and hybridization buffer. The kits can also include label(s) and other chemicals to amplify label signal. The kits usually include labelling or instructions indicating the suitability of the kits for performing hybridization in gel drops and/or flow cytometrix analysis. The term "label" is used generically to encompass any written or recorded material that is attached to, or otherwise accompanies the kit at any time during its manufacture, transport, sale or use.

## EXAMPLES

### **1. Encapsulation, Hybridization and Screening of Chromosomes, and Stability of Encapsulated Chromosomes**

## Materials and Methods

**Cell Lines and Culture Conditions** Human chronic myelogenous leukemia, K-562, human acute lymphocytic leukemia, REH (American Type Culture Collection, Rockville, MD), and mouse fibroblast, *Mus Spretus* C1-5A (Los Alamos National Laboratory, Los Alamos, NM) cells were grown in RPMI 1640 medium supplemented with 10% fetal bovine serum at 37°C in the presence of 5% CO<sub>2</sub>. Normal human lymphoblast cells GM130 (NIGMS Human Genetic Mutant Cell Repository, Coriell Institute for Medical Research, Camden, NJ) were grown under identical conditions, except that RPMI 1640 medium was supplemented with 15 % heat inactivated fetal bovine serum.

**Source of Plant Chromosomes** Plant chromosomes from the field bean *Vicia faba*, (from J. Dolezel, Institute of Experimental Botany, Olomouc, Czech Republic), were isolated from root meristems after cell cycle synchronization with hydroxyurea and metaphase accumulation with amiprophos methyl (21).

**Mitotic Cell Preparation** Mouse C1-5A cells (an adherent cell line) undergoing logarithmic growth were treated with 0.2 µg/ml colcemid (Sigma, St. Louis, MO) for 12-15 hours. Mitotic cells, which become less adherent, were shaken-off and resuspended in hypotonic solution (55 mM KCl). Human K-562 cells undergoing exponential growth were treated with 60 µM genistein (Sigma) for 24 hours to synchronize growth (23). Cells were then pelleted and washed once with Hank's balanced salt solution (Sigma). Fresh media containing 0.1 µg/ml of colcemid was added and cells were grown for an additional 24 hours. After counting, cells were pelleted by centrifugation (100 g for 10 min at 4 °C) and resuspended in hypotonic solution (75 mM KCl). Human REH cells were grown to stationary phase, to synchronize growth, then were left for 2 days. Cells were harvested by low speed centrifugation and

grown in fresh media containing 0.1  $\mu\text{g/ml}$  colcemid for 24 hours. After pelleting, cells were resuspended in hypotonic solution (75 mM KCl).

5     **Chromosome Isolation** Human and mouse chromosomes were isolated using the polyamine method (31,32), with minor modifications. Approximately  $2 \times 10^7$  cells were swelled in 10 ml of hypotonic solution either for 30 min (human REH) or for 1 hr (human K-562 and mouse C1-5A cells) at room temperature. After  
10    swelling, cells were pelleted by 5 min centrifugation at 40 g, and gently resuspended in 0.1 ml of fresh hypotonic solution. Two ml of chromosome isolation buffer (CIB; 15 mM Tris-HCl, 80 mM KCl, 20 mM NaCl, 2 mM EDTA, 0.5 mM EGTA, 0.2 mM spermine, 0.5 mM spermidine, pH 7.2) supplemented with 0.1 % (v/v) 2-  
15    mercaptoethanol and 0.2 % (v/v) Triton X-100 was added and solutions were mixed by brief (10 sec) vortexing. Tubes were kept at 0°C for approximately 10 min. C1-5A cells were passed through a 25 gauge needle until chromosomes were released, as monitored by fluorescence microscopy. Nuclei and cellular  
20    fragments were removed by three successive 5 min centrifugations at 40 g. The top two thirds of the supernatant, after the final centrifugation, was kept at 4°C for 16 hr. The supernatant was then carefully decanted to avoid disturbing the settled chromosomal pellet. Chromosomes  
25    were resuspended in 0.2 ml of CIB and aggregates were pelleted by centrifugation for 5 min at 40 g. Chromosomes were either stored in CIB or immediately encapsulated in agarose gel microdrops (GMDs).

30    **Chromosome Encapsulation** The chromosome/agarose mixture (2.3% Type XII agarose; (Sigma) 0.1% Triton X-100 (Sigma) containing  $10^6$  chromosomes/0.55 ml) was prepared by melting the agarose in CIB at 100°C, cooling the agarose to 58°C, and adding 100  $\mu\text{l}$  of chromosome suspension and 50  $\mu\text{l}$  of 11% (v/v) Triton X-



100 (pre-warmed to 58°C) to 0.4 ml of melted agarose. The mixture was held at 58°C for 5 min and added dropwise to 15 ml of CelMix™ 200 emulsion matrix (One Cell Systems, Cambridge, MA) which was also pre-warmed to 58°C. GMDs were generated with a CellSys100™ Microdrop Maker (One Cell Systems, Cambridge, MA) equipped with a 1.6 cm blade using successive rotor speeds of 1,500 rpm for 1 min at 20-25°C, 1,500 rpm for 1 min at 0°C, and 1,500 rpm for 5 min at 0°C. GMDs were separated from the emulsion matrix by centrifugation at 350 g for 10 min. The encapsulated chromosome-containing pellet was washed twice with 13 ml of CIB, re-pelleted by centrifuging 5 min at 250 g, and stored at 4°C in 10 ml of the same buffer.

**Chromosome Staining** Encapsulated chromosomes were stained with propidium iodide (0.4 µg/ml) for microscopic examinations and with 7-actinomycin (7AAD) at the same concentration, both from Sigma, for flow cytometry.

**Hybridization Probes** LSI™ 22q (*bcr*-locus specific, Vysis, Downers Grove, IL), which hybridizes to a 300 kb region of the *bcr* gene on chromosome 22, was used. This probe was directly labeled with Spectrum Green™ (fluorescein). After labeling, the probe size distribution ranged from 50-500 nt. The DNA probe was denatured at 95°C for 5 min before hybridization.

**Microdrop In Situ Hybridization (MISH) of Human Chromosomes**

Encapsulated chromosomal DNA was denatured in 0.1 N NaOH, 50% (v/v) ethanol for 1.5 min. GMDs were washed once in 0.5 M sodium carbonate, pH 10.2. After denaturation, the hydroxyl groups in the agarose microdrops were mildly crosslinked with 5 µM divinylsulfone by incubating 30 min at room temperature. Excess reactive groups from divinylsulfone were blocked with 2% (v/v) 2-mercaptoethanol for 30 min in 40 mM Tris-HCl, pH

8.0. GMDs were then washed with 2xSSC (0.15M NaCl, 0.015M sodium citrate).

100  $\mu$ l (approximately  $2 \times 10^5$ ) of human chromosomes encapsulated in GMDs was hybridized with 2.0  $\mu$ l of the denatured probes (probe concentration was proprietary for the manufacturer) in a hybridization mixture (2xSSC, 10% (v/v) dextran sulfate, 50  $\mu$ g/ml salmon sperm ssDNA for 16 hours at 68°C. After hybridization, non-specifically bound probe was removed by incubating GMDs with 1.0 ml 0.4xSSC at 72°C for 5 min (LSI/22) and washing twice with 0.4 X SSC at room temperature. Cot DNA was added to prevent non-specific hybridization to repetitive sequences.

**Microscopy and Digital Image Analysis** The integrity of chromosomes after fluorescent staining or MISH was checked visually using an Olympus BH-2 microscope (40 X SPlan 0.4 objective or phase contrast A40 PL 0.65 objective) equipped for epifluorescence with appropriate filters for fluorescein and 7AAD.

**Flow Cytometric Analysis** After staining or MISH, encapsulated chromosomes were analyzed using a FACS Vantage flow cytometer (Becton Dickinson Immunocytometry Systems, San Jose, CA) equipped with a standard 100  $\mu$ m nozzle. LYSYS II Ver.2.0 software was used for data analysis. To remove large particles, the GMDs were sieved through a 53  $\mu$ m nylon mesh (Small Parts Inc., Miami Lakes, FL). For flow cytometry analysis, a concentration of microdrops not exceeding  $2 \times 10^5$ /ml was used. Forward and side scatter signals were analyzed on a log scale and examined in scatter plot format, permitting identification of and gating on GMDs. 7ADD fluorescence was used to identify GMDs containing encapsulated chromosomes. To identify 7ADD, an argon laser with a 356 nm spectral line was used. To identify chromosomes which

hybridized to the Spectrum Green™ labeled probe, fluorescein (FITC) intensity was measured. For measuring FITC fluorescence, an argon laser with a 488 nm spectral line was used.

5

**Fluorescence Activated GMD Sorting** Encapsulated chromosomes were sorted using a FACS Vantage fluorescence activated cell sorter (Becton Dickinson) adapted with a macrosort option.

The sheath pressure was set at 2 psi with a sample differential of 1 psi. A large diameter sample line was used to avoid clogging. The GMD samples were sieved through a 53 µm mesh before sorting. Sorting speed was in the range of 50 FITC-labeled chromosomes/sec. Sorted GMD-encapsulated chromosomes were pelleted by low speed centrifugation and taken up with 20 µl of Antifade solution (Oncor, Gaithersburg, MD), diluted 1:1 with CIB and analyzed using a fluorescence microscope.

**Digestion of Denatured Encapsulated Chromosomal DNA with HindIII** Encapsulated chromosomes were denatured, as previously described, washed with 40 mM Tris-HCl, pH 8.0 and subsequently digested with Proteinase K (2mg/ml) supplemented with lithium dodecyl sulfate (1%) in CIB for 12 hours at 50°C. Chromosomes were then washed three times with a 200-fold excess of CIB and two times with 20-fold excess of HindIII digestion buffer (50 mM NaCl/10 mM Tris-HCl, pH 8.0/10 mM MgCl<sub>2</sub>). Digestion of encapsulated chromosomes was done using 20 units of HindIII (Gibco-BRL) in a reaction volume of 50 µl for 90 min at 37 °C. Gel loading buffer was added and samples were electrophoresed as described below.

30

**Gel Electrophoresis of Chromosomal DNA** Both free and encapsulated chromosomes were treated with proteinase K and lithium dodecyl sulfate as described above for three hours at

50°C. Gel-loading buffer (6 x, 0.25% bromphenol blue, 0.25% xylene cyanol FF, 30% glycerol) was added and samples were electrophoresed on 0.8% SeaKem Gold (FMC BioProducts, Rockland, ME) agarose in TAE buffer (0.04 M Tris-acetate, 1mM EDTA) at 56 volts for 2-6 hours. Propidium iodide was present in the gel (0.5 µg/ml) and in the electrophoresis buffer (0.05 µg/ml) during electrophoresis. 0.25 µg of lambda DNA or 1.0 µg φX174 DNA cut with *HinFI* (Gibco-BRL) was used as a standard.

**Chromosome Storage and Recovery** Free and encapsulated chromosomes were kept at 4°C for up to 6 months in CIB. Agarose (from *Pseudomonas atlantica*, Sigma) was used to digest GMDs to recover chromosomes of interest. Encapsulated chromosomes were pelleted, resuspended in phosphate buffered saline solution, pH 7.0 (Sigma), and agarose (30 units per 1000 GMDs) was added. This suspension was incubated at 40°C for two hours, treated with proteinase K as described above, and chromosomal DNA was analyzed by gel electrophoresis.

## Results

Fig. 1 shows encapsulated and unencapsulated human, mouse, and plant chromosomes. Encapsulated chromosomes appear visually more compact than unencapsulated chromosomes, with narrow centromeric regions and tightly bound chromatid extensions. The centromeric region of encapsulated chromosomes appear physically unseparated, which would be an indication of chromatid loss. Interestingly, encapsulation of intact plant chromosomes, which are approximately 3 times larger than human chromosomes, was also successfully performed using this procedure.

**Stability of Encapsulated Chromosomes** To assess the long term stability of encapsulated chromosomes, we examined DNA

fragmentation using gel electrophoresis. As depicted in Fig. 2, no DNA fragmentation was found by electrophoretic analysis, even 6 months after encapsulation. In contrast, one month after isolation, DNA from unencapsulated human and mouse chromosomes has a smeared banding pattern, indicative of DNA fragmentation due to nuclease cleavage. This result indicates that encapsulated chromosomal DNA remains intact and can be used for preparing chromosome-specific libraries. Intact isolation and long term stability of high quality, high molecular weight DNA will be a major convenience for researchers and an innovation for sample storage for clinical use.

**In Situ Hybridization of Encapsulated Chromosomes** An important improvement was development of a matrix crosslinking method which allowed use of up to 50% formamide and temperatures as high as 95°C, necessary for reproducible hybridizations. The agarose hydroxyl groups were mildly crosslinked with divinyl sulfone. Although this procedure also partially crosslinks chromosomal DNA to the agarose matrix, because this process is reversible at pH 10, DNA can be released from GMDs after MISH, which is important for eventual construction of chromosome-specific libraries.

Using a FACS Vantage both for flow cytometric analysis and cell sorting, dual-parameter dotplots were produced by plotting FITC-fluorescence, which was detecting probes hybridized to the chromosomes versus 7AAD fluorescence, which as a general DNA stain was used to detect all chromosomes. Fig. 3A shows flow sorting of human chromosome 22 following microdrops in situ hybridization using a bcr locus specific probe. Chromosomes were counter-stained with 7-amino-actinomycin (Vysis, Downer's Grove, IL). Chromosomes were counter-stained with 7AAD. R1 includes high FITC, low 7AAD fluorescence specific for gel microdrops containing chromosome

22. The scattergram also display empty GMDs, encapsulated chromosomes, and noise. Fig. 3B shows sorted chromosomes visualized using fluorescent microscopy. The hybridization signal is depicted in green (FITC) color. Hybridization  
5 signals were amplified using the TSA method, which provides a 10-100 increase in signal intensity.

Fig. 4 shows purity of chromosome 22 after sorting measure by the polymerase chain reaction using primer sets specific from chromosomes 10, 21 or 22. Amplification results  
10 for each primer set were compared using unsorted and sorted chromosome populations. Unsorted chromosomes generated specific amplicons for each primer (lanes 3-5), Sorted chromosome 22 showed no contamination with chromosome 10 (lane 8 vs. 60 and were less than 1% contaminated with chromosome 21  
15 (lane 8 vs. lane 7)).

**Recovery of Encapsulated Chromosomes** We determined that after recovering chromosomes from agarose microdrops, DNA was not fragmented, even 6 months after encapsulation. We also tested  
20 the integrity of DNA after digesting microdrops with agarase and found that the electrophoretic pattern was the same as that of untreated encapsulated chromosomes used as controls. These observations show that after release from gel microdrops, encapsulated chromosomes will be a convenient  
25 source of high quality DNA for molecular genetics studies.

**Digestion of Denatured Encapsulated Chromosomes** A major concern for eventual use of sorted chromosomes to construct chromosome-specific libraries was that digestion of  
30 chromosomal DNA with restriction enzymes, which is necessary for cloning large DNA fragments (>100 kb) into BAC libraries, would be impossible because denaturation would destroy the DNA secondary structure. To address this concern, we tested the hypothesis that brief alkaline use would only partially

denature the DNA and that subsequent digestion with proteinase K at 50°C in low salt buffer overnight would restore double strandedness, thus making specific enzyme digestion possible. Human chromosomes isolated from the GM 130 cell line were digested with proteinase K and cleaved with *HindIII*. The electrophoretic result depicted in Figure 5 shows that the restriction endonuclease *HindIII* cleaved restored DNA and generated typical sized fragments, demonstrating that MISH treated chromosomes can be used for library construction.

#### Example 2: Construction of Chromosome-Specific BAC Libraries

A major unrealized goal of fluorescence *in situ* hybridization assays has been the use of flow cytometry to isolate specific chromosomes for library construction. Prior to development of the MISH method, after hybridization, only a small fraction of chromosomes remain intact and free in suspension. Without the protection gained using the agarose microspheres, most chromosomes are clumped or fragmented, making them largely unsuitable for flow cytometric analysis (27). We have shown not only that we can hybridize and flow sort encapsulated chromosomes, but also that alkaline-denatured chromosomes can be digested with restriction endonucleases. As a result of this finding, we propose construction of chromosome-specific libraries. Human chromosome 21 was chosen because it is often difficult to identify and sort using conventional dual fluorescent staining since it is small and indistinguishable in the presence of cellular debris (29). The second BAC library will be constructed using human chromosomes 9, which belongs to the group of chromosomes not resolvable by conventional dual fluorescent staining because of its similarity in size to several other chromosomes (13). Chromosome libraries are constructed using the steps below.

30

Sort MISH-labeled chromosomes

↓

Remove hybridized probes

↓

5 Digest sorted chromosomes with proteinase K in low salt buffer

↓

Digest chromosomal DNA with restriction enzymes

↓

Ligate DNA fragments into BAC vector

10

↓

Electroporate ligated product into *E. coli* host

↓

Screen transformants for chromosome-specific inserts

15

Example 3: Use of MISH-sorted Chromosomes for Reverse  
Chromosome Painting

The development of *in situ* hybridizations with flow sorted chromosome libraries (25,26), combined with non-isotopic signal detection (30), has become a powerful approach for rapidly analyzing human chromosomal aberrations, such as aneuploidy and translocations. These techniques, termed chromosome painting, are becoming widely used in clinical cytogenetics. However, small rearrangements, additions, or deletions are not detectable using conventional chromosome painting, but these aberrations are detectable by reverse chromosome painting, which is performed using a probe prepared from aberrant chromosomes. A method of using MISH-sorted chromosomes is depicted below.

30



31

Sort MISH-labeled aberrant chromosomes

↓

Deproteinize sorted chromosomes

5

↓

First round of chromosomal DNA PCR amplification

↓

10

Biotin-label probe production by a second round of PCR  
amplification

↓

Use probe in FISH painting of normal chromosome metaphase  
spreads

15

Example 4: Detection of the Philadelphia chromosome

The Philadelphia chromosome is a shortened chromosome 22 that results from a balanced translocation between chromosomes 9 and 22 with the translocation breakpoints at 9q34 and 22q11. As a result of this translocation, most of the *abl* oncogene, located on chromosome 9, is juxtaposed to part of the *bcr* gene, located on chromosome 22, creating a new *bcr-abl* gene fusion (40, 51, 52, 53, 54). This gene fusion encodes an abnormal protein with strong tyrosine kinase activity compared with the weak tyrosine kinase activity of the normal *abl* protein. The abnormal tyrosine kinase produced from *bcr-abl* causes increased cell proliferation and contributes to leukemogenesis by unknown cellular pathways.

30

Translocations such as *bcr/abl* are currently detected by cytogenetic examination of metaphase chromosome preparations prepared from bone marrow cultures. Although this method is adequate to detect most chronic myelogenous leukemia cases

(CML) in which Ph<sup>1</sup>-positive chromosomal translocations are visible after banding due to the size difference in metaphase spreads, in acute lymphoblastic leukemia (ALL), cytogenetic examination is successful in only 65-80 % of the cases, depending on the experience of each laboratory (55). The lower yield in ALL is the result of the following factors. First, many ALL patients have inaspirable bone marrows, so no cells are available. Second, lymphoblasts can be difficult to culture, so there is little enrichment of leukemia cells. And third, the percentage of Ph<sup>1</sup> carrying cells in a sample may be as low as 30%, in contrast with CML in which virtually 100% of the cancerous cells in the sample carry Ph<sup>1</sup>. In cases where chromosomal rearrangements are not visible in classical metaphase spreads, which can occur in Ph<sup>1</sup>-positive chromosomes, both in CML or ALL leukemias, fluorescence *in situ* hybridization, Southern blot (DNA) analysis, or polymerase chain reaction (PCR) analysis must be performed to detect rearrangements (55).

In CML, Southern blot analysis has been useful in detecting evidence of Ph<sup>1</sup> chromosome in patients in whom the cytogenetics are negative despite a clinical presentation of CML (53). This approach is only of limited utility in ALL because only 25-50% of Ph<sup>1</sup> chromosome positive ALL patients have M-*bcr* (Major breakpoint rearrangements). Nearly all M-*bcr* negative patients have translocation breakpoints in m-*bcr* (minor breakpoint rearrangements) anywhere in the first intron, which is 70-kb in size, requiring performance of an impractical number of Southern blots in order to adequately investigate this entire region.

The chromosomal breakpoints in CML and acute leukemias may occur over large DNA sequences: 5.8 kb for the *bcr* region in CML and over 90 kb for the first intron of the *bcr* 1 gene in acute leukemias. Therefore, PCR amplification of the fusion *bcr/abl* gene sequences cannot be performed on DNA from

patient specimens. All PCR based methods are designed to amplify and detect the abnormal fusion of mRNA (RT PCR). The design of these methods takes advantage of the fact that mRNA sequences are much shorter, lacking intron sequences.

5 Assuming primers can be designed to amplify short stretches of mRNA specific for particular translocation (currently available for Ph<sup>1</sup> fused mRNA), amplified target can be detected after hybridization with specific probes followed by gel electrophoresis and Northern blotting. The size of  
10 *bcr/abl* fused mRNA for different patients varies within certain ranges for CML and acute leukemia breakpoints, but the same size fusion mRNA is characteristic for each malignant clone and can be monitored for each patient over the clinical course of the leukemia.

15 An advantage of using mRNA as a target for PCR amplification is that mitotic cells are unnecessary. The practical limit of sensitivity of detection is approximately 1 malignant per 10,000 non-malignant cells. While the exquisite sensitivity of PCR could be advantageous in molecular  
20 diagnostic testing, its use is troublesome in the clinical laboratory. Contamination with minute amounts of amplified DNA and/or RNA from patient samples or cell line controls, even in the range of 1 to 10 copies, may generate false-positive results. Aerosols and carryover are the main sources  
25 of contamination. A potential problem with monitoring the presence of the Ph<sup>1</sup> chromosome after chemotherapy or bone marrow transplant by RT PCR is the presence of residual dead leukemia cells with intact mRNA complicating therapeutic assessment.

30 The MISH technique combined with flow cytometric analysis makes a significant contribution to analysis of translocations. Because metaphase chromosomes are required, only live leukemia cells contribute Ph<sup>1</sup> chromosomes for detection. As long as leukemia cells proliferate and reach

mitosis, approximately 20 Ph<sup>1</sup> positive chromosomes in the presence of 50,000 chromosomes, including those from Philadelphia-negative cells, can be detected in about 5 min. This corresponds to detection of 20 cells with a single copy of Ph<sup>1</sup> chromosome, or 10 cells with two defective copies, in an environment of approximately 1,000 cells which are Ph<sup>1</sup> chromosome negative (2% leukemia cells present). To improve statistical significance, analysis of 100,000 events can be performed in approximately 10 minutes, provided that metaphase chromosomes are available from both cell types. In contrast, in current FISH procedure using metaphase spreads obtained from bone marrow cultures which have a mitotic index of 10%, one would have to find one Ph-positive spread in the presence of 1,000 Ph-negative spreads, a labor intensive and impractical approach by conventional microscopy.

#### Materials and Methods

Chromosome encapsulation and hybridization conditions were as described above. The LSI<sup>TM</sup> *bcr/abl* DNA probe was used for in situ hybridization. The probe was directly-labeled with SpectrumGreen<sup>TM</sup>/SpectrumOrange<sup>TM</sup> which was designed to detect translocations between chromosome 9 and 22 (Vysis, Downers Grove, IL). This probe detects *bcr/abl* gene fusions, the molecular equivalent of the Philadelphia chromosome (Ph<sup>1</sup>), in both metaphase and interphase cells. It can be used to identify *bcr/abl* gene fusion involving either of the two breakpoint regions (*M-bcr* and *m-bcr*) in the *bcr* gene on chromosome 22. The *bcr/abl* translocation probe is qualified for use on both cultured lymphocytes and bone marrow cells. The LSI<sup>TM</sup> probe does not contain repetitive sequences and is composed of an *abl* probe directly labeled with SpectrumOrange fluorophore and a *bcr* probe directly labeled with SpectrumGreen fluorophore. The *abl* probe begins between *c-abl* exons 4 and 5 and continues for about 200 kb toward the

telomere of chromosome 9. The *bcr* probe begins either between *bcr* exons 13 and 14 (*m-bcr*) or between *bcr* exons 2 and 3 (*M-bcr*) and extends toward the centromere approximately 300 kb crossing well beyond the *m-bcr* region. The probe size distribution is within a range of 50-500 nt (after labeling).

After hybridization, chromosomes containing *M-bcr/abl* gene fusion in Chronic Myelogenous Leukemia (CML) and in Acute Lymphoblastic Leukemia (ALL) can be expected to contain fused orange and green signals in translocated chromosome 22, which are sometimes perceived as yellow. Normal chromosomes 22 should display green signal and normal chromosomes 9 should display orange signal. Hybridized chromosomes that have the *m-bcr/abl* gene fusion in ALL should contain fused green/orange signal in chromosome 22 and faint green signal not fused with orange signal on chromosome 9 (from the chromosome 22 region between *m-bcr* and *M-bcr* that is translocated to chromosome 9).

## RESULTS

**Chromosome Isolation** To increase the yield of human mitotic cells, K-562 cells were synchronized by a novel method using genistein, an isoflavone which blocks the cell cycle at G<sub>2</sub>/M. An important advantage of genistein for cell cycle synchronization was that it did not appear to penetrate cells and was easily eliminated by washing. After synchronization, growth of K-562 cells was not significantly affected by the presence of colcemid for up to 24 hours, and a high percentage (75%) of cells, therefore, reached mitosis. Use of genistein yielded a ready supply of millions of chromosomes facilitating extensive experimentation with encapsulation and fluorescent *in situ* hybridization conditions. The method can also be used to isolate chromosomes for other purposes.

In Figure 6, detection of translocated chromosome 22 *left* chromosome 9 *middle*, and translocation-free chromosome 22 *right* by MISH are shown. Translocated chromosome 22 is

identifiable by the presence of both green and red colors on a background of a blue colored whole chromosome. Fused red and green signals may be perceived as yellow (bottom left of Figure 6). This yellow color also represents fused *bcr/abl* gene (translocated chromosome 22). Translocation-free chromosome 22 is detectable by the presence of single green color and chromosome 9 by the presence of single red color. Digital images created by a CELLscan digital image system with Exhaustive Photon Reassignment (EPR), which was available through collaboration, are presented here, but a fluorescence microscope equipped with a triple bandpass filter for DAPI, fluorescein and rhodamine was adequate to identify translocations in Philadelphia<sup>1</sup> chromosome.

#### Example 6: Analyzing Encapsulated Human Nuclei Using Microscopy and Flow Cytometry

Analyzing MISH signals in nuclei provides improved contrast relative to MISH detection in chromosomes. Moreover, MISH analysis of nuclei can be performed in cases where cell proliferation is difficult or impossible. The applications are numerous and include detection of: translocations, deletions, monosomies or trisomies for diagnostic and prognostic analysis of aberrations. This method can also be used in research applications including gene amplifications and gene mapping. In this example, we use human nuclei with deleted chromosome 3 as a model system. This genetic defect presents numerous clinical symptoms in affected people including mental retardation and multiple congenital anomalies.

#### **Isolation of Nuclei**

Nuclei are isolated from human lymphoblastoid cell line GM11428 (NIGMS Human Genetic Mutant Cell Repository, NIH, Bethesda, MD). HL-60 cells are a source of normal nuclei

(control) with no deletions in any chromosome. Cells in logarithmic phase of growth are collected and swelled in hypotonic solution (75 mM KCl) for 1 hour. Cells are then pelleted by low speed centrifugation and resuspended in 2-mercaptoethanol-free CIB buffer (1 million cells/ml). Triton X-100 is then added to obtain final concentration of 0.2%. Nuclei released from cells are kept in this solution for 1 hour at 4°C, then pelleted by centrifugation at 100 x g and resuspended in original cell volume of the same buffer and re pelleted. Finally, nuclei are resuspended at a concentration of 10 million nuclei per ml of 2-mercaptoethanol-free CIB buffer.

#### **Encapsulation of Nuclei**

Encapsulation of nuclei is performed essentially as described previously for chromosome encapsulation. However, GMD size can be adjustment from 25-35 to 45-55  $\mu\text{m}$  to account for the larger size of nuclei by modification of blade speed during the emulsification process.

#### **Example 7: Improved Method of Chromosome Isolation**

Chromosomes can be synchronized in metaphase by culturing cells from which chromosomes are to be isolated with the flavonoid genistein together with colcemid, which is commonly used to block the cell-cycle at metaphase. This procedure yields a mitotic index of about 75%: (i.e., three out of four cells yielded metaphase chromosomes).

Chromosomes are released from cells by hypotonic treatment. Quantitative release of chromosomes requires at least some physical shearing force, such as vortexing or passage through a gauge needle, and generate some cell debris. After chromosome release, nuclei are removed by low speed centrifugation to remove nuclei. To remove proteins, chromosome lysates are then dialyzed in a Slide-A-Lyzer™

(Pierce, Rockford, IL), which has a large pore membrane (100,000 molecular weight cut off), against a 100 fold volume of CIB (with two changes). Two alternatives for separating chromosomes from cellular debris can be employed:

5           In the first approach, chromosomes are captured with magnetic beads conjugated to antibodies specific for the double stranded DNA of human chromosomes. These antibodies are present in sera of patients suffering from scleroderma (Chemicon International, Temecula, CA). The immunoglobulin  
10   fraction of the sera is isolated using protein A-agarose (Pierce, Rockford, IL). The IgG fraction is then biotinylated with biotin-NHS ester (Molecular Probes, Eugene, OR) using the manufacturer's procedure. Streptavidin magnetic microbeads (Miltenyi Biotec, Auburn, CA) are used to bind the  
15   biotinylated IgG fraction of the human sera from scleroderma patients. The microbeads are then used to capture chromosomes. Chromosomes covered with magnetic beads are separated from non-chromosomal material by means of a strong field MACS Separator (Miltenyi Biotec). This approach also  
20   allows enrichment of occupied GMDs from the pool of mostly unoccupied GMDs.

          In the second approach, cellular debris is separated from chromosomes using antibodies specific for cytoskeletal proteins conjugated to a solid support. In the chromosome  
25   release step, buffer containing detergent (Triton X-100) is used to solubilize membranes. But cytoskeletal proteins, such as fibronectin filaments, actin filaments, or intermediate microfilaments, are mostly present in an insoluble form. Although most microtubule proteins are solubilized by colcemid  
30   treatment, some remain. Antibodies against cytoskeletal proteins (fibronectin, actin, vimentin), are available from Accurate Chemical & Scientific (Westbury, NY), Biosource International (Camarillo, CA) and Cytoskeleton (Denver, CO). These antibodies are conjugated through reductive amination to



AminoLink Plus Coupling agarose gel (Pierce, Rockford, IL). 0.5 ml of antibody-derivatized gel (in a Compact Reaction Column, United States Biochemical, Cleveland, OH) is used to capture insoluble fragments of cellular debris by passing  
5 dialyzed and concentrated chromosome solution through a column.

#### Example 8: Detection with Chemiluminescent Substrates

Exothermic chemical reactions generally release energy in  
10 the form of vibrational or rotational excitation or heat. In chemiluminescent reactions, however, the electronically excited state is reached by a chemical reaction and light rather than heat is generated. The energy-rich source in most chemiluminescent reactions is peroxide, hydroperoxide, 1,2  
15 dioxetane, or dioxetane bonds. During the transition of these excited intermediates to the electronic ground state, light is emitted in a process known as direct chemiluminescence. Novel acridane or dioxetane chemiluminescent substrates, developed by Tropix, BioTecx, and collaborators at Lumigen allow  
20 detection of  $10^{-19}$  moles of horseradish peroxidase or  $10^{-21}$  moles of alkaline phosphatase (74-76), a substantial improvement in sensitivity over fluorescence based detection at  $10^{-14}$  moles per liter.

Chemiluminescent substrates for alkaline phosphatase are  
25 currently all phenyl phosphate dioxetanes (PPD). PPD (4-methoxy-4-(3-phosphatephenyl)spiro[1,2dioxetane,-3,2'-adamantane] disodium salt) or CSPD® (chlorine derivative of PPD) are now widely used in clinical immunossays and protein and nucleic acid detection test kits. PPD or CSPD® based  
30 substrates also contain fluorescent enhancers which promote more efficient generation of chemiluminescent light through extended glow kinetics.

Other substrates such as PS-1, a substrate for HRP which has just become commercially available, exploits Lumigen's

discovery that esters of N-alkylacridancarboxylic acid are efficiently oxidized by peroxidase enzymes in the presence of hydrogen peroxide and a phenolic enhancer. The reaction, which requires only a minimal catalytic quantity of a peroxidase, converts the acridan compounds to the corresponding N-alkylacridinium ester. The PS-1 HRP substrate reaction produces an intense chemiluminescence, reaching a peak in approximately 10 minutes, with an extended decay over several hours. In a direct comparison with enhanced luminol based chemiluminescent reagents, previously the most sensitive system for detecting HRP, PS-1 was shown to be 100 times brighter (74).

The current detection limit using fluorescence *in situ* hybridization (FISH) and non-isotopically-labeled probes is approximately 100 kb DNA target or 10-30 copies of mRNA (77-79). Although slightly stronger signals can be obtained using probes labeled with radioactive isotopes, unacceptably long exposure times of days to weeks are required to detect approximately the same length DNA targets. Furthermore, health and disposal concerns make this technology unsuitable for most laboratories.

*In situ* hybridization techniques have dramatically improved in recent years both in terms of safety and sensitivity, primarily due to use of enzymes instead of isotopes as reporter molecules. Due to high reaction turnover, horseradish peroxidase (HRP) or alkaline phosphatase (AP) are the most frequently used reporter enzymes. Using reporter enzymes, localization of hybridization signals can be performed either with colorimetric immunohistochemistry methods, which are less sensitive, or with fluorescent methods, which increase sensitivity 10-100 fold, in comparison to the non-enzymatic methods (80).

The highest detection limits for both reporter enzymes are obtained, however, using chemiluminescent substrates which

facilitate the emission of light triggered by an enzymatic reaction. Approximate detection limits of several approaches are shown below.

5	<b><u>Detection Method</u></b>	<b><u>Detection Limit<sup>a</sup></u></b>	<b><u>Base Pairs<sup>b</sup></u></b>
	Color	$10^{-10}$ - $10^{-12}$	200-400
	Fluorescence	$10^{-13}$ - $10^{-15}$	100-200
	Radioisotopes	$10^{-20}$	10-100
	PCR	$10^{-22}$	0.4-10
10	Chemiluminescence	$10^{-19}$ - $10^{-21}$	10-100
	Microdrop CL-	$10^{-21}$	10-100 <sup>c</sup>

moles,

kilobases,

15 per each GMD

#### Tyramide Signal Amplification

The recently available Tyramide Signal Amplification (TSA) system (NEN Life Sciences Products, Boston, MA) designed for fluorescence *in situ* hybridization was used in order to compare amplified fluorescence signal with chemiluminescence. TSA technology (80) uses horseradish peroxidase (HRP) to catalyze deposition of fluorophore or biotin labeled tyramide near the site of the hybridized probe, proximal to the enzyme. HRP can deposit  $10^2$ - $10^3$  tyramide molecules in 10 min, resulting in powerful signal amplification. In addition, since tyramide can be labeled both with fluorophores (TSA-Direct) and biotin (TSA-Indirect), both fluorescent or chemiluminescent signal can be detected.

30 For fluorescent measurements after MISH, GMDs were incubated in TNB blocking buffer (0.1M Tris-HCl, pH 7.5, 0.15M NaCl, 0.5% Blocking Reagent, NEN) with diluted conjugates of HRP with streptavidin for biotin labeled probes, or anti-fluorescein for fluorescein labeled probes (both from NEN) for

30 min. After three subsequent washes with TNT (0.1M Tris-HCl, pH 7.5, 0.15M NaCl, 0.05% TWEEN 20), GMDs were incubated with 300  $\mu$ l of diluted fluorescein-tyramide for 10 min. Unreacted tyramide was removed by washing GMDs twice with TNT. MISH signals were then visualized using fluorescence microscopy.

#### Chemiluminescent Detection of Hybridized Probes

GMDs hybridized with biotin labeled probes were incubated for 30 min in TNB blocking buffer containing conjugate of either streptavidin-HRP (NEN) or HRP (Sigma) at dilutions of 1:100. GMDs hybridized with fluorescein-labeled probes were incubated with the conjugate of anti-fluorescein-HRP (NEN) diluted 1:100. In some signal amplification experiments, prior to chemiluminescent measurements, tyramide labeled with biotin was subsequently bound to streptavidin conjugate of either HRP or AP. Unreacted conjugates were removed by three successive washes with TNT. GMDs were then pelleted by low speed centrifugation and resuspended in 0.05 ml 0.4xSSC. Aliquots diluted in a ratio of 1:5 with the appropriate chemiluminescent substrate were then examined either using a microscope equipped with a photon counting device or a luminometer.

For horseradish peroxidase detection, three Luminol-based and one acridinium-based substrates were used. Two luminol-based substrates, LumiGLO™ and BM Chemiluminescence ELISA Substrate, were obtained from Kirkegaard and Perry Laboratories (KPL Inc., Gaithersburg, MD) and Boehringer Mannheim Corp. (Indianapolis, IN), respectively. A third luminol-based substrate, NF-1, which does not require phenolic enhancers, was recently developed by BioTecx, Inc. (Houston, TX) and available for experimentation. The acridinium-based substrate, PS-1, was obtained from Lumigen, Inc. (Southfield, MI).

For alkaline phosphatase detection, two adamantyl 1,2-dioxetane aryl phosphate (PPD)-based substrates were used. Lumi-Phos 530, obtained from Lumigen, is a premixed formulation containing phenyl phosphate dioxetane,  $MgCl_2$ , cetyltrimethylammonium bromide, and an enhancer. CSPD, a derivative of PPD mixed with the Emerald II enhancer, was obtained from Tropix, Inc. (Bedford, MA).

GMDs were incubated in substrate solutions for 5-10 min at room temperature for HRP or 10-15 min at 37°C for AP.

Light emitted from GMDs soaked in chemiluminescent substrates was measured using an OPTOCOMP® I luminometer (MGM Instruments, Hamden, CT).

#### Derivatization of GMDs with HRP and AP

GMDs were formed from biotinylated agarose (FMC Bioproducts, Rockland, ME) using standard emulsification procedures and sieved sequentially through 62 and 45  $\mu m$  nylon mesh (Small Parts, Miami Lakes, FL) to obtain uniform size microdrops. GMDs were then blocked with TNB blocking buffer for 15 min. Aliquots containing  $5 \times 10^5$  GMDs were reacted with appropriate dilutions of streptavidin-HRP or streptavidin-AP (both from Sigma) for 30 min. After binding, GMDs were washed 3 times with TNT washing buffer.

#### Digital Image Microscopy

For imaging chemiluminescence, an Olympus BH-2 microscope, equipped with phase contrast objectives for visualizing GMDs, was connected to a photon counting device through a C-mount. A Hamamatsu (Bridgewater, NJ) C2400-32 ICCD (intensified CCD) camera was used as a photon counting device. The camera consists of an image intensifier and a CCD camera coupled with a relay lens and a control unit (Hamamatsu II controller, model M4314). In this configuration, the CCD camera effectively visualizes 756x485 pixels (pixel size

8.4x9.8  $\mu\text{m}$ ). Images were created and modified using the Hamamatsu ARGUS 20 Image Processor, which permits real time image observation.

5 For fluorescence imaging, the microscope was connected to a Hamamatsu C5985 cooled CCD camera and images were created using a Hamamatsu controller and an Argus 20 Image Processor. The CCD camera was cooled to 20°C below the ambient temperature with a built in Peltier effect device. Both chemiluminescent and fluorescent images were analyzed and  
10 superimposed or pseudocolored using Adobe® Photoshop® 4.0 software (Adobe Systems, San Jose, CA) running on Windows® 95 operating system.

## RESULTS

15 This research has demonstrated the feasibility of detecting at least 2-10 kb DNA sequences located on single copy genes using probes hybridized to encapsulated nuclei and then imaged using microscopy and a CCD camera. A 2.2 kb DNA sequence of a single copy gene located on human chromosome Y  
20 can be detected using a chemiluminescent substrate for HRP. This sequence was not detectable using fluorescently labeled probes.

We evaluated a variety of substrates for both HRP and AP  
25 reporter enzymes. Although the lowest detection limit for HRP, measured in a luminometer, was obtained using acridinium-based substrate, low light level required for microscopic visualization destroyed the substrate. Two PPD (phenyl phosphate dioxetane) based substrates for AP were also  
30 unaffected by red light required to focus specimens for microscopy.

To detect low chemiluminescent light levels we used several CCD cameras, including a Hamamatsu Peltier effect-cooled CCD and a Photometrics CH250 cooled to -40°C. Low

light levels generated from probe hybridizing to single copy gene sequences, which had approximately  $4 \times 10^2$ - $10^3$  molecules of reporter enzyme, were best detected using photon counting devices. By comparison, about  $10^5$  reporter molecules of enzyme bound to agarose GMDs were needed for detection using a cooled CCD camera, such as the Photometrics CH250 (see Table 1 below).

Table 1. CCD camera detection limits for reporter enzyme molecules conjugated to streptavidin.

CCD Camera	Reporter enzyme molecules detected/GMD
Hamamatsu C5985 C CCD	$>10^5$
Photometrics CH250 C CCD	$10^5$
Hamamatsu C2400-32 I CCD	$10^3$

#### Example 9: RNA detection in encapsulated cells

The ability rapidly to detect the presence of virus-specific or cancer-specific RNAs in individual cells present in low frequencies has applications in disease diagnosis, monitoring and treatment as well as blood screening.

Microdrop *in situ* hybridization (MISH) is particularly suited for these applications because it eliminates the need for cell fixation and prevents cell clumping which makes the detection of rare cells difficult. This example describes detecting both cancer cells (positive for telomerase mRNA expression) and HIV-infected cells by using a combination of MISH and flow cytometry.

## Materials and Methods

### 1. Cell Lines and Culture Conditions

Human promyelocytic leukemia HL-60 cells leukemia were purchased from American Type Culture Collection (ATCC, Rockville, MD). A3.01 cells and HIV infected cells H9/HTLV-III NIH 1983 were obtained from the NIH AIDS Research and Reference Reagent Program, Rockville, MD. All cells were cultured in RPMI 1640 medium supplemented with 10% fetal bovine serum (FBS) and were grown at 37°C in the presence of 5% CO<sub>2</sub>.

### 2. Probes and Fluorescent Labeling

Oligonucleotide probes for detecting RNA labeled with fluorescein at 5' end were purchased from Oligoes Etc., Wilsonville, OR. Oligos labeled with horseradish peroxidase were purchased from Biosorce International, Camarillo, CA. Oligonucleotide probes for detection of HIV RNA are derived from *gag* region of HIV genome. Sequences of oligonucleotide probes are depicted below:

#### **HIV RNA detection**

H1 5'-(HRP)CCA TTC TGC AGC TTC CTC ATT GAT GGT CTC-3'

H2 5'-(HRP)CTT GTC TTA TGT CCA GAA TGC TGG TAG GGC-3'

#### **Telomerase mRNA detection**

BF-1 5'FITC-CCA ACA AGA AAT CAT CCA CCA AAC GCA GGA GC 3'

BF-3 5'FITC-GAG GCT GTT CAC CTG CAA ATC CAG AAA CAG 3'

BF-4 5'FITC-GAA GGT TTT CGC GTG GGT GAG GTG AGG TG 3'

### 3. Cell Encapsulation

Human cells harvested from various stages of growth were pelleted by low speed centrifugation, washed with Hanks Balanced Salt Solution (HBSS) containing 0.1% diethylpyrocarbonate (DEPC), and resuspended in the same buffer at concentrations 20x10<sup>6</sup> cells per ml. For encapsulation of cells, pluronic acid was used as a surfactant because it does not affect cell membrane integrity during emulsification.



A cell-agarose mixture (2.3% Type XII agarose and 0.1% pluronic acid in IIBSS containing  $2 \times 10^6$  cells per 0.52 ml) was prepared by melting 0.4 ml agarose in HBSS at 100°C, cooling the agarose to 57°C, and adding 100 µl of cell suspension and 20 µl of 10% pluronic acid. The mixture was held at 65°C for 5 min and then quickly added dropwise to 15 ml of CelMix™ 200 emulsion matrix (One Cell Systems, Cambridge, MA) pre-warmed to 6°C. Gel microdrops were created using a CellSys100™ Microdrop Maker (One Cell Systems, Cambridge, MA) equipped with a 1.6 cm blade using successive rotor speeds of 2,200 rpm for 1 min at 20-25°C, 2,200 rpm for 1 min at 0°C, and 1,200 rpm for 7 min at 0°C. The GMDs were then separated from the emulsion matrix by centrifugation at 400 x g for 7 min. The pellet containing encapsulated cells was washed twice with DEPC treated HBSS and stored at 4°C in the same buffer and used within a week. However, encapsulated cells can be stored long-term (at least for a month) in 70% ethanol at -20°C.

#### 4. Microdrop In Situ Hybridization (MISH) of Encapsulated Human Cells

All solutions used for cellular RNA detection were prepared in DEPC treated water. 1 µl of DEPC (10% in 70% ethylalcohol) was added to approximately 100,000 GMDs containing 10,000 cell-occupied GMDs in a volume of 50 µl. After a 15 min incubation at room temperature, an equal volume of 2x hybridization buffer was added and the mixture was incubated for a given time at temperatures ranging from 50 to 58°C. For hybridization with the oligo probes, 2x hybridization solution contained, 1.2 M Tris-HCl, pH 8.0, 0.1 mg/ml salmon sperm DNA, 0.1 mg/ml *E. coli* tRNA, 100 units of placental RNase inhibitor/ml and 200 µl of Vanadyl Ribonucleoside Complex/ml (BRL, Bethesda, MD). Before mixing with encapsulated cells 10-20 picomoles/0.1 ml of oligonucleotide probes were added to 2x hybridization buffer. After performing hybridization at 55°C, GMDs were washed using

wash buffer (WB, 0.05 M Tris-HCl, pH 7.0, 0.15 M NaCl, 0.2 mM EDTA, 0.1% Tween 20) at temperatures ranging from 20 to 55°C. Hybridization signals were amplified by a Tyramide Signal Amplification (TSA, NEN™ Life Science Products, Boston, MA) either directly (with HRP labeled probes) or after binding with anti-fluorescein-HRP (with fluorescein-labeled probes).

To detect HIV RNA with oligo probes directly labeled with HRP, encapsulated cells were first washed three times with HRP stabilizing buffer (Biotecx, Cambridge, MA) before adding 2x hybridization buffer.

#### 5. Tyramide Signal Amplification

The Tyramide Signal Amplification (TSA) system (NEN Life Sciences Products, Boston, MA) is designed for non-isotopic *in situ* hybridization. TSA technology (37) uses horseradish peroxidase (HRP) to catalyze deposition of tyramide labeled with fluorophores near the site of the hybridized probe, proximal to the enzyme. HRP can deposit  $10^2$ - $10^3$  tyramide molecules in 10 min, resulting in powerful signal amplification. After MISH, GMDs were incubated in TNB blocking buffer (0.1M Tris-HCl, pH 7.5, 0.15 M NaCl, 0.5% Blocking Reagent, NEN) with 1:200 diluted conjugate of anti-fluorescein HRP (NEN) for 30 min. After three subsequent washes with TNT (0.1 M Tris-HCl, pH 7.5, 0.15 M NaCl, 0.05% Tween 20), GMDs were incubated with 1:100 diluted tyramide-fluorescein for 10 min. Unreacted tyramide was removed by washing GMDs twice with TNT.

#### 6. Microscopy and Digital Image Analysis

The integrity of encapsulated cellular nucleic acids (both DNA and RNA after fluorescent staining with 0.2 µg/ml acridine orange) and MISH fluorescence signals were examined visually using an Olympus BH-2 microscope (phase contrast 40 X SPlan 0.4 objective) equipped for epifluorescence with

appropriate filters for DAPI, fluorescein or phycoerythrin. Digital images were taken with a cooled CCD camera (B/W Hamamatsu C5985-02 with control unit) connected to the microscope. Digital images were processed with the assistance of computer software (Adobe Photoshop version 4.0, Adobe Systems, San Jose, CA).

#### 7. Flow Cytometric Analysis of Encapsulated Cells after MISH

Flow cytometric analysis after MISH was performed using an EPICS Elite™ (Coulter Corporation, Miami, FL). 15 mW of a 488 nm line of an air cooled argon laser was used to excite the fluorescein in hybridized probes. The trigger parameter for collecting events was forward scatter (detecting encapsulated cells). 10,000 list mode events were collected at a rate of approximately 600 occupied GMDs per second and analyzed using elite 4.01 software.

#### RESULTS

Fig. 7 shows flow cytometric detection of gag HIV RNA in encapsulated HIV infected cells after hybridization with two HRP-labeled oligo probes. A2.01 cells with the same lineage as H9 cells were used as control cells. Both control and HIV infected cells were cultured for 4 days. The peaks are color coded and represent the following: Red peak, A3.01 cells, probes not present (mean fluorescence 0.258); Blue peak, H9 HTLVIII cells, probes not present (mean fluorescence=0.289); Yellow peak, A3.01 cells, probes present (mean fluorescence=3.41); Green peak, H9HTLVIII cells, probes present (mean fluorescence=19.2). Mean fluorescence of HIV infected and non-infected cells hybridized with oligo probes was used for the calculation of S/N value, which was found to be 5. It has previously been estimated that H9/HTLV-III cells express approximately 250-500 copies of HIV-specific RNA. Detection

of such a low copy RNA number indicates the sensitivity of the assay for detection of HIV-infected cells in human blood.

Fig. 8 shows detection of telomerase mRNA in HL-60 (model cancer cell line) and human PBMCs using fluorescein-labeled oligonucleotide probes followed by TSA signal amplification. Hybridization conditions and TSA amplification are described in Materials and Methods. The histograms are color coded as follows: red=HL-60 cells; black=human PBMCs. Left peak represents unoccupied GMDs and right peak represents GMDs occupied with single cells. The relative mean fluorescence of the right peaks is 220 for HL-60 cells and 78 for human PBMCs (S/N=2.8). These results show the power of this methodology for detecting differential expression of mRNA in different cell types, and particularly between normal and cancer cells.

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10 While the foregoing invention has been described in some detail for purposes of clarity and understanding, it will be clear to one skilled in the art from a reading of this disclosure that various changes in form and detail can be made without departing from the true scope of the invention. All publications and patent documents cited in this application  
15 are incorporated by reference in their entirety for all purposes to the same extent as if each individual publication or patent document were so individually denoted.

What is claimed is:

- 1 1. A method of nucleic acid analysis, comprising  
2 forming a population of gel microdrops encapsulating a  
3 population of biological entities, each entity comprising a  
4 nucleic acid, whereby at least some microdrops in the  
5 population each encapsulate a single entity;  
6 contacting the population of gel microdrops with a probe  
7 under conditions whereby the probe specifically hybridizes to  
8 at least one complementary sequence in the nucleic acid in at  
9 least one gel microdrop;  
10 isolating or detecting the at least one gel microdrop.
- 1 2. The method of claim 1, wherein the biological entities are  
2 selected from the group consisting of cells, viruses, nuclei  
3 and chromosomes.
- 1 3. The method of claim 1, wherein the biological entities are  
2 not fixed chemically before the contacting step.
- 1 4. The method of claim 1, further comprising amplifying the  
2 nucleic acids before the contacting step.
- 1 5. The method of claim 1, wherein the biological entities are  
2 chromosomes.
- 1 6. The method of claim 1, wherein the population of gel  
2 microdrops is formed by forming a preparation of biological  
3 entities in a liquid gel, and dispersing the preparation into  
4 a hydrophobic solvent to form drops encapsulating the  
5 entities.
- 1 7. The method of claim 1, wherein the populations of gel  
2 microdrops is formed by forming a preparation of biological

1 entities in a liquid gel and passing the preparation through a  
2 pulsating orifice.

1 8. The method of claim 7, wherein the pulsating orifice is a  
2 component of an ink jet printer.

1 9. The method of claim 1, wherein most drops contain zero  
2 chromosomes, and 1-30% of drops contain a single chromosome.

1 10. The method of claim 1, wherein the drops are 2-200  $\mu\text{m}$  in  
2 diameter.

1 11. The method of claim 1, wherein the gel is selected from  
2 agarose, alginate, carrageenan, or polyacrylamide.

1 12. The method of claim 1, further comprising digesting the  
2 gel at least one isolated microdrop with agarase to isolate a  
3 nucleic acid within the microdrop.

1 13. The method of claim 1, further comprising denaturing the  
2 nucleic acid in the microdrops before the contacting step.

1 14. The method of claim 1, wherein the gel is agarose and the  
2 method further comprising crosslinking hydroxyl groups in the  
3 agarose with each other and with hydroxyl groups in the  
4 nucleic acid between the denaturation and contacting steps.

1 15. The method of claim 14, wherein the hybridization is  
2 performed at a temperature of over 68°C or in the presence of  
3 a formamide concentration greater than 20%.

1 16. The method of claim 5, wherein the biological entities  
2 are obtained from a human, nonhuman mammal, plant,  
3 bacterium, fungus, fish, or insect.

1 17. The method of claim 1, wherein the probe is labelled.

1 18. The method of claim 17, wherein the microdrops further  
2 comprise a reagent that amplifies a signal from the labelled  
3 probe.

1 19. The method of claim 17, wherein the probe is labelled  
2 with an enzyme, and the reagent is a substrate for the enzyme.

1 20. The method of claim 17, wherein the probe is  
2 fluorescently labelled.

1 21. The method of claim 1, wherein the probe specifically  
2 hybridizes to a subpopulation of the microdrops each  
3 containing a nucleic acid bearing a complementary sequence to  
4 the probe.

1 22. The method of claim 1, wherein the at least one gel  
2 microdrop is isolated by fluorescent activated cell sorting.

1 23. The method of claim 1, wherein the at least one gel  
2 microdrop is detected by flow cytometry, microscopy, digital  
3 image analyzing, scanning cytometry, photon counting or ccd.

1 24. The method of claim 1, wherein the probe is a nucleic  
2 acid.

1 25. The method of claim 24, wherein the probe is a locus-  
2 specific probe.

1 26. The method of claim 5, wherein the probe comprises first  
2 and second probes respectively complementary to different  
3 chromosomes in a wildtype individual, whereby cohybridization

1 of the first and second probes to the same chromosome  
2 indicates a chromosomal translocation in an individual.

1 27. The method of claim 1, wherein the probe hybridizes to a  
2 satellite DNA sequence, centromeric, a telomeric region or a  
3 repetitive sequence.

1 28. The method of claim 1, wherein the probe is a chromosome  
2 specific probe.

1 29. The method of claim 5, wherein the population of  
2 chromosomes are obtained from a single cell or a homogeneous  
3 cell line from a patient.

1 30. The method of claim 1, further comprising labelling  
2 microdrops containing an entity with a second label without  
3 labelling empty microdrops with the second label.

1 31. The method of claim 5, wherein the population of  
2 chromosomes is obtained from a population of different cells  
3 in a patient.

1 32. The method of claim 31, further comprising determining  
2 the ratio of a subpopulation of microdrops containing a  
3 chromosome hybridized to the probe to a supopulation of  
4 microdrops containing a chromosome not hybridized to the  
5 probe.

1 33. The method of claim 32, wherein the ratio is less than  
2 1:10.

1 34. The method of claim 32, wherein the probe hybridizes to a  
2 nucleic acid segment bearing a mutation and the ratio

1 indicates the proportion of cells in the population bearing  
2 the mutation.

1 35. The method of claim 32, wherein the mutation is a somatic  
2 mutation.

3

4 36. The method of claim 32, wherein the mutation is a  
5 germline mutation.

1 37. The method of claim 1, further comprising contacting an  
2 isolated gel drop containing a nucleic acid with a restriction  
3 enzyme, whereby the restriction enzyme cleaves the nucleic  
4 acid within the drop.

1 38. The method of claim 5, further comprising preparing a  
2 single chromosomal fragment library from a chromosome in an  
3 isolated gel microdrop.

1 39. The method of claim 5, further comprising preparing  
2 probes from a single chromosome in an isolated gel microdrop.

1 40. The method of claim 39, wherein the probes are chromosome  
2 painting probes.

1 41. The method of claim 39, wherein the probes are reverse  
2 chromosome painting probes.

1 42. The method of claim 1, further comprising storing a gel  
2 microdrop encapsulating a biological entity for at least one  
3 hour.

1 43. The method of claim 42, wherein the biological entity is  
2 stored before the contacting step.



1 44. The method of claim 42, wherein the biological entity is  
2 stored after the isolating or detecting step.

1 45. The method of claim 42, wherein the gel microdrop is  
2 stored for at least six months.

1 46. The method of claim 5, further comprising viewing the  
2 isolated gel microdrop under a microscope to determine which  
3 regions of the chromosome have hybridized to the probe.

1 47. The method of claim 1, further comprising contacting the  
2 at least one gel drop containing a nucleic acid hybridized to  
3 the probe with a label that binds to the probe.

1 48. The method of claim 1, wherein the population of  
2 chromosomes comprises at least 10,000 chromosomes.

1 49. The method of claim 1, wherein the biological entities  
2 are cells and the probe hybridizes to an RNA molecule with the  
3 cells.

1 50. A method of diagnosing a disease due to a mutation,  
2 comprising:

3 obtaining a sample of cells from a patient;

4 encapsulating a population of chromosomes from the sample  
5 in a population of microdrops;

6 contacting the microdrops with a first probe that is  
7 complementary to a nucleic acid segment containing the somatic  
8 mutation, and a second probe complementary to the chromosome  
9 in which the somatic mutation occurs at a site distal to the  
10 somatic mutation, whereby the first probe hybridizes to  
11 microdrops bearing the chromosome with a somatic mutation and  
12 the second probe hybridizes to microdrops bearing the

13 chromosome irrespective whether the somatic mutation is  
14 present;

15 determining the ratio of microdrops hybridizing to the  
16 first probe and hybridizing to the second probe;

17 diagnosing the existence or prognosis of the disease from  
18 the ratio.

1 51. The method of claim 50, wherein the disease is cancer.

1 52. The method of claim 51, wherein the mutation occurs in a  
2 p53, BRCA-1, BRCA-2, ras or retinoblastoma gene.

1 53. A method of chromosome analysis, comprising  
2 forming a population of gel microdrops encapsulating a  
3 population of nucleic, whereby at least some microdrops in the  
4 population each encapsulate a single nucleus;  
5 contacting the population of gel microdrops with a probe  
6 under conditions whereby the probe specifically hybridizes to  
7 at least one complementary sequence in at least one chromosome  
8 in a nucleus of least one gel microdroplet;  
9 isolating or detecting the at least one gel microdroplet.

1 54. A method of isolating chromosomes comprising:  
2 culturing a population of cells in genistein and colcemid  
3 to synchronize chromosomes in metaphase;  
4 isolating chromosomes from the cells.

1 55. A method of isolating chromosomes comprising:  
2 lysing a population of cells to form a lysate;  
3 treating the lysate with an antibody linked to a magnetic  
4 particles, wherein the antibody specifically binds to one or  
5 more chromosomes in the cells;  
6 isolating magnetic particles from the lysate.

- 1 56. A method of chromosome analysis, comprising  
2 forming a population of gel microdrops encapsulating a  
3 population of cells or nuclei, whereby at least some  
4 microdrops in the population each encapsulate a single  
5 nucleus;  
6 contacting the population of gel microdrops with a probe  
7 under conditions whereby the probe specifically hybridizes to  
8 at least one complementary sequence in at least one nucleus in  
9 at least one gel microdrop;  
10 isolating or detecting the at least one gel microdrop.
- 1 57. The method of claim 56, wherein the probe is labelled  
2 with an enzyme and the gel microdrops contain a substrate for  
3 the enzyme.
- 1 58. The method of claim 56, wherein gel microdrops are formed  
2 from a biotinylated gel, and the substrate is linked to biotin  
3 via an avidin or streptavidin moiety.
- 1 59. The method of claim 58, wherein the substrate is  
2 chemiluminescent.
- 1 60. The method of claim 59, wherein the enzyme is horseradish  
2 peroxidase or alkaline phosphatase.
- 1 61. The method of claim 56, wherein the detecting comprising  
2 analyzing the at least one gel microdrop with a fluorescence  
3 microscope, a digital image analyser, a scanning cytometer, a  
4 photon counting device or a ccd.
- 1 62. The method of claim 61, wherein the detecting indicates  
2 the distribution of the probe within the nucleus of the at  
3 least one microdrop.

1 63. The method of claim 56, wherein the detecting comprising  
2 placing a plurality of gel microdrops on a microscope slip and  
3 detecting a hybridization signal using a fluorescence  
4 microscope, a digital image analyser, a scanning cytometer, a  
5 photon counting device or a ccd.

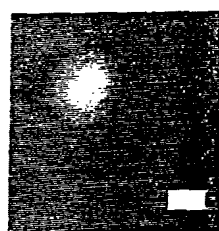
1 64. The method of claim 56, wherein the probe hybridizes to a  
2 single copy genomic sequence shorter than 50 kb.

1 65. The method of claim 56, wherein the probe hybridizes to a  
2 single copy genomic sequence shorter than 10 kb.

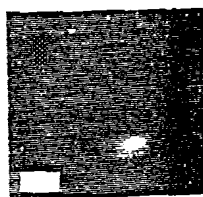
1 66. The method of claim 56, wherein the isolating or  
2 detecting is effected by flow cytometry, optionally with FACS,  
3 or MACS.

1 67. A kit comprising a high melting temperature agarose,  
2 emulsification equipment, a label indicating how to use the  
3 kit for probe hybridization analysis.

1 68. The kit of claim 67, further comprising at least one  
2 probe that hybridizes to a nucleic acid.



Human



Mouse



Plant

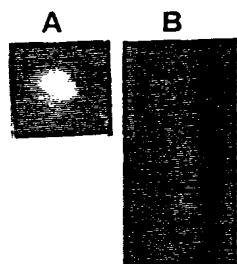


FIG. 1

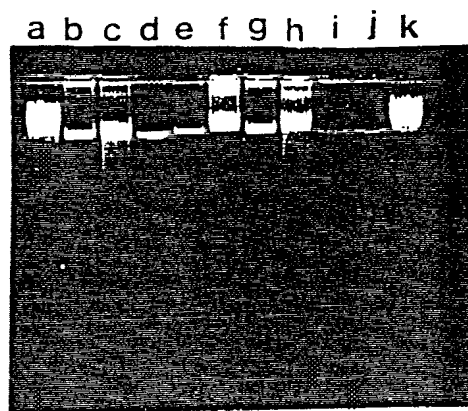


FIG. 2

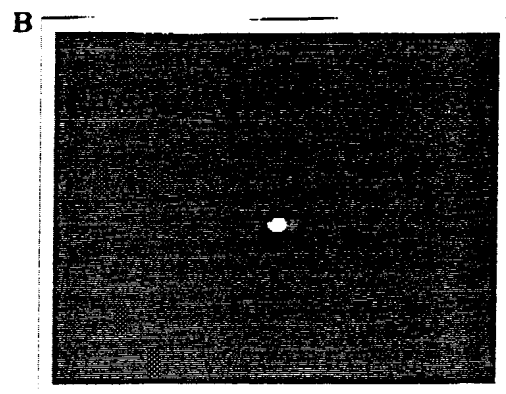
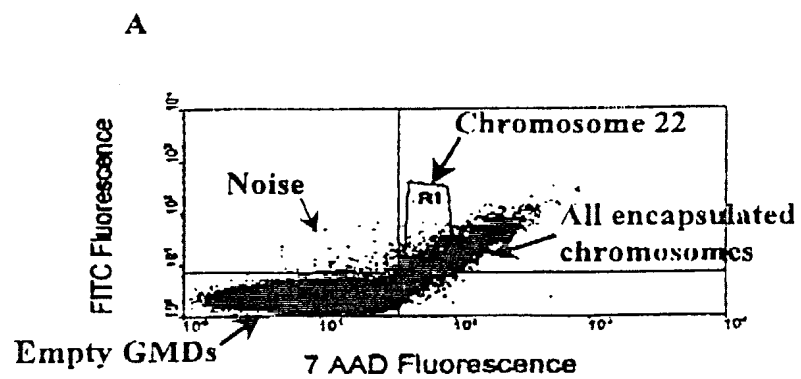


FIG. 3

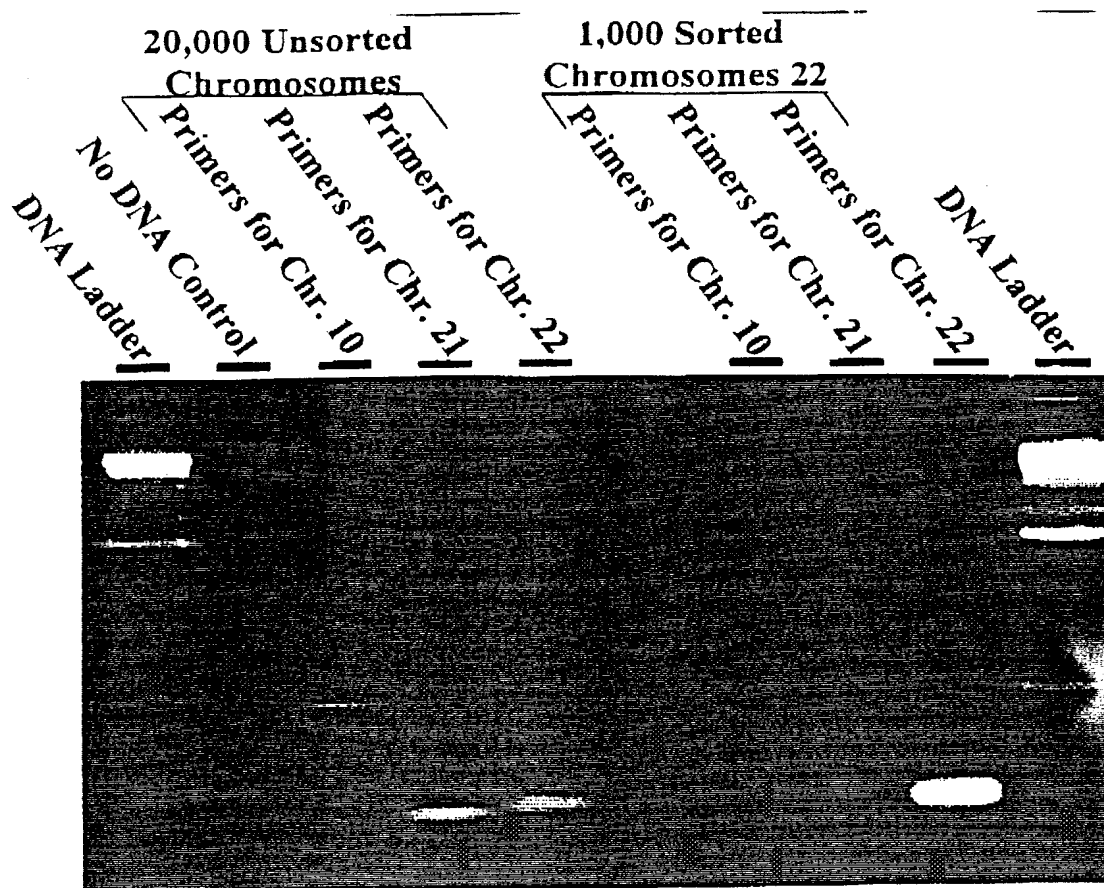


FIG. 4



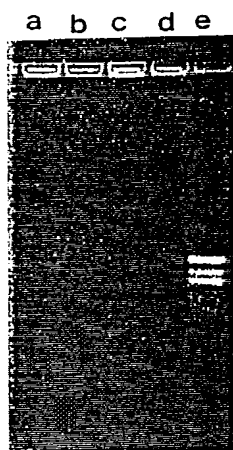


FIG. 5

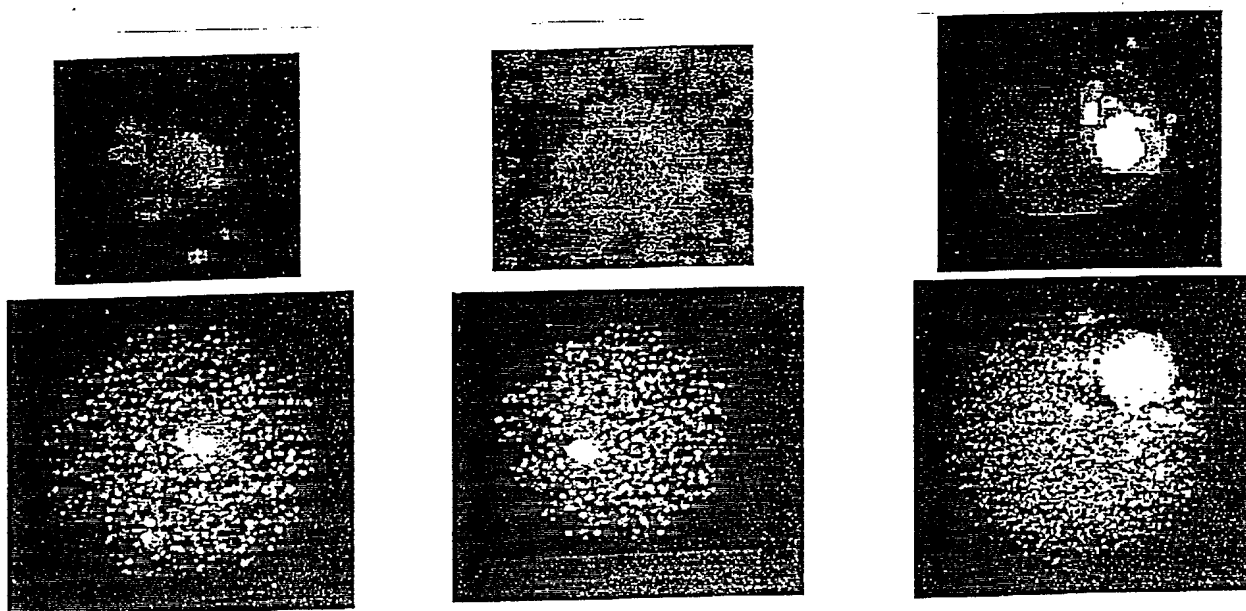


FIG. 6

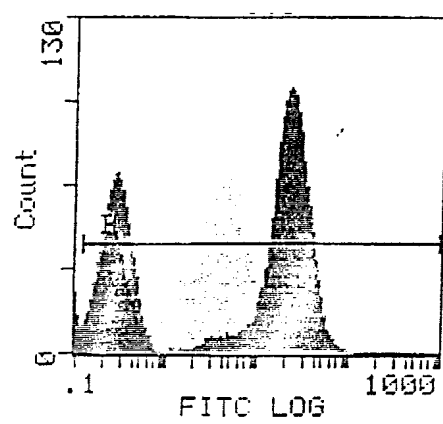


FIG. 7

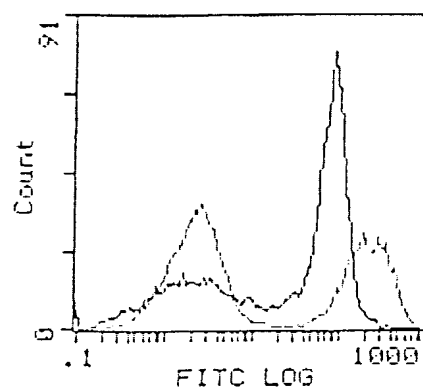


FIG. 8

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/17822

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : C12Q 1/68  
US CL : 435/6, 91.2

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/6, 91.2; 536/22.1, 24.3, 24.33

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WEST, MEDLINE, BIOSIS, CAPLUS, EMBASE, GENBANK  
search terms: sequencing, hybridization, microdrops, in situ, chromosome


**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	NGUYEN, B.T. et al. In Situ Hybridization to Chromosomes Stabilized in Gel Microdrops. Cytometry. March 1995, Vol. 21, pages 111-119, see entire document.	1-68
X	US 5,552,270 A (KHRAPKO et al) 03 September 1996, see entire document.	1-68
X	US 5,451,500 A (STAPLETON) 19 September 1995, see entire document.	1-68
X, P	US 5,861,247 A (MIRZABEKOV et al) 19 January 1999, see entire document.	1-68

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 04 NOVEMBER 1999	Date of mailing of the international search report <b>17 NOV 1999</b>
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